



PATENT  
Attorney Docket No.: ANDREWS-00800

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Christopher C. Andrews

Serial No.: 09/374,408

Filed: August 13, 1999

For: **METHOD OF AND APPARATUS  
FOR INTERACTIVE  
AUTOMATED PRODUCTION AND  
RECORDING OF AN AUDIO FILE  
AND PROVIDING AUTOMATED  
ADDRESSABLE ACCESS TO AND  
TRANSMISSION OF RECORDED  
AUDIO FILES**

) Group Art Unit: 2645

) Examiner: Foster, R.

) **INFORMATION DISCLOSURE  
STATEMENT**

) 260 Sheridan Avenue, Suite 420  
) Palo Alto, California 94306  
) (650)833-0160

**RECEIVED**

**JAN 16 2001**

**Technology Center 2600**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

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Applicants have become aware of the following printed publications which may be material to the examination of this application:

- U.S. Patent No. 5,675,507;
- U.S. Patent No. 5,799,063; and
- U.S. Patent No. 5,915,001.

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington D.C. 20231

HAVERSTOCK & OWENS LLP  
Date: 1/5/01 By: [Signature]

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This Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: January 5, 2001

By: Jonathan O. Owens  
Jonathan O. Owens  
Reg. No.: 37,902  
Attorneys for Applicant



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) **TRANSMITTAL LETTER**

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Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed please find an Information Disclosure Statement, Certification for Information Disclosure Statement Under 37 CFR § 1.97(e) and Form PTO-1449, including copies of the references contained thereon, for filing with the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: January 5, 2001

By: Jonathan O. Owens  
Jonathan O. Owens  
Reg. No.: 37,902  
Attorneys for Applicant

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HAVERSTOCK & OWENS LLP  
Date: 1/5/01 By: Jonathan O. Owens



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) **CERTIFICATION FOR**  
) **INFORMATION DISCLOSURE**  
) **STATEMENT UNDER 37 CFR § 1.97(e)**

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Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

This certification is being made for the Information Disclosure Statement accompanying this certification.

**Certification**

I, the person signing below, hereby certify that the printed publications contained in the enclosed supplemental information disclosure statement were cited within an International Search Report mailed on November 28, 2000. **Accordingly, the references contained in the information disclosure statement were cited in a communication from a foreign patent office in a counterpart application not more than three months prior to the filing of the statement.** 37 C.F.R. 1.97(e)(1).

CERTIFICATE OF MAILING (37 CFR § 1.8(a)),

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HAVERSTOCK & OWENS LLP  
Date: 1/10/01 By: [Signature] - 1 -


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**Identification of Person Making Certification**

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: January 5, 2001

By:   
Jonathan O. Owens  
Reg. No.: 37,902

Attorneys for Applicant